



PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Application Number	10/700,773
Filing Date	November 4, 2003
First Named Inventor	Hongming Chen
Group Art Unit	1743
Examiner Name	Paul S.H. Hyun
Attorney Docket Number	TPI5020US

U.S. PATENT DOCUMENTS

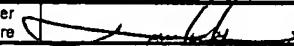
Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ²			
PSH		6,109,717		Kane et al.	08-29-2000	
PSH		5,699,934		Kolcun et al.	12-23-1997	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T ³
		Office ³	Number ⁴	KindCode ⁵				
PSH		WO	01/51919		Transform Pharmaceuticals, Inc. et al.	07-19-2001		
PSH		WO	03/023394		Phares Pharmaceutical Research N.V. et al.	03-20-2003		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner's Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
PSH		D'ANTONA, P. et al., "Rheologic and NMR Characterization of Monoglyceride-based Formulations", Journal of Biomedical Materials Research, Vol. 52, No. 1, October 2000, pp. 40-52	
PSH		LIPINSKI, C.A., "Drug-Like Properties and The Causes of Poor Solubility and Poor Permeability", Journal of Pharmacological and Toxicological Methods", Vol. 44, No. 1, 2000, pp. 235-249	
PSH		BEVAN, C.D. et al., "A high-throughput screening method for the determinations of aqueous drug solubility using laser nephelometry in microtiter plates", Analytical Chemistry, American Chemical Society, Vol. 72, No. 8, April 15, 2000	

Examiner Signature 	Date Considered	7/17/07
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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SUBMISSION UNDER MPEP 609 D

Page 1 of 1

<u>Application Number</u>	10/700,733
<u>Filing Date</u>	November 4, 2003
<u>First Named Inventor</u>	Hongming Chen
<u>Group Art Unit</u>	1743
<u>Examiner Name</u>	Paul S.H. Hyun
<u>Attorney Docket Number</u>	TP15020US

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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PSH		Supplementary European Search Report, dated August 1, 2006, for European Appln. No. EP 03779464	

Examiner
Signature

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Date Considered

7/17/04



SUBMISSION UNDER MPEP 609 D

Page 1 of 1

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PSH		Supplementary European Search Report, dated August 1, 2006, for European Appln. No. EP 03779464	

**Examiner
Signature**

**Date
Considered**

4/17/07



Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary)		Atty Docket No. TPIP020 Application No.: 10/700,773 Inventor H. Chen et al. Group 1614 Filing Date November 4, 2003
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U.S. Patent Documents

Examiner Initial	No.	Patent No./ Doc. No.	Date	Patentee	Class	Sub-Class	Filing Date
PSM	A	6,338,820	1/15/2002	Hubbard et al.			
	B	6,544,193	4/8/2003	Abreu			
	C	6,306,659	10/23/2001	Parce et al			
	D	2001/0044112	11/22/2001	Lyles			
	E	6,485,703	11/26/2002	Cote et al.			
	F	5,922,616	7/13/1999	Dennison et al.			

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation
	G						Yes
	H						No
	I						
	J						
	K						
	L						

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	M	
	N	

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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							Yes	No
G								
H								
I								
J								
K								
L								

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	M	
	N	
Examiner <u>authy</u>		Date Considered <u>7/17/07</u>

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